

COURSE OVERVIEW TM0409-3D
Internal Audit Master Class

Course Title

Internal Audit Master Class

Course Date/Venue

Session 1: August 16-18, 2026/Tamra Meeting Room, Al Bandar Rotana Creek, Dubai, UAE
 Session 2: November 15-17, 2026/Sur Meeting Room, Royal Tulip Muscat, Muscat, Oman



Course Reference

TM0409-3D



Course Duration/Credits

Three days/1.8 CEUs/18 PDHs

Course Description



This practical and highly-interactive course includes real-life case studies and exercises where participants will be engaged in a series of interactive small groups and class workshops.

This course is designed to provide advanced knowledge and practical skills to perform effective, risk-based and value-adding audits. Participants will learn how to plan, execute, report and follow up on audits while leveraging modern audit methodologies, risk assessment techniques, data analytics, governance practices and stakeholder engagement approaches. It focuses on transforming internal audit from a traditional assurance function into a strategic business partner that enhances governance, risk management and organizational performance.



During this interactive course, participants will learn the internal audit in the modern organization, international professional practices framework (IPPF) and IIA standards; the corporate governance and the three lines model; the enterprise risk management (ERM) for auditors and risk-based audit planning; the internal control frameworks and assessment, audit engagement planning including audit fieldwork and evidence collection; the audit testing and sampling techniques, internal audit data analytics, fraud risk assessment and fraud auditing; the operational, financial and compliance audits, audit findings development and root cause analysis; the internal audit reporting excellence, audit follow-up and issue management; and the quality assurance and improvement program (QAIP) and strategic internal audit leadership.



Course Objectives/Outcomes & Benefits for the Participants

Upon the successful completion of this course, each participant will be able to:-

- Apply and gain a good working knowledge on internal audit
- Discuss internal audit in the modern organization, international professional practices framework (IPPF) and IIA standards
- Recognize corporate governance and the three lines model as well as apply enterprise risk management (ERM) for auditors and risk-based audit planning
- Carryout internal control frameworks and assessment, audit engagement planning including audit fieldwork and evidence collection
- Employ audit testing and sampling techniques, internal audit data analytics, fraud risk assessment and fraud auditing
- Apply operational, financial and compliance audits, audit findings development and root cause analysis
- Carryout internal audit reporting excellence, audit follow-up and issue management
- Develop quality assurance and improvement program (QAIP) and apply strategic internal audit leadership

Exclusive Smart Training Kit - H-STK®



Participants of this course will receive the exclusive “Haward Smart Training Kit” (H-STK®). The H-STK® consists of a comprehensive set of technical content which includes electronic version of the course materials conveniently saved in a Tablet PC.

Who Should Attend

This course provides an overview of all significant aspects and considerations of internal audit master class for internal auditors (junior to senior level), audit managers and senior auditors, chief audit executives (CAE) / heads of internal audit, risk management professionals, compliance officers, finance managers and controllers, governance and control professionals, external auditors, SOX / regulatory compliance staff, professionals transitioning into internal audit roles and other technical staff.

Course Fee

US\$ 3,750 per Delegate + **VAT**. This rate includes H-STK® (Haward Smart Training Kit), buffet lunch, coffee/tea on arrival, morning & afternoon of each day.

Accommodation


Accommodation is not included in the course fees. However, any accommodation required can be arranged at the time of booking.

Course Certificate(s)

Internationally recognized certificates will be issued to all participants of the course who completed a minimum of 80% of the total tuition hours.

Certificate Accreditations

Haward's certificates are accredited by the following international accreditation organizations: -

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British Accreditation Council (BAC)

Haward Technology is accredited by the **British Accreditation Council** for **Independent Further and Higher Education** as an **International Centre**. Haward's certificates are internationally recognized and accredited by the British Accreditation Council (BAC). BAC is the British accrediting body responsible for setting standards within independent further and higher education sector in the UK and overseas. As a BAC-accredited international centre, Haward Technology meets all of the international higher education criteria and standards set by BAC.

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The International Accreditors for Continuing Education and Training (IACET - USA)

Haward Technology is an Authorized Training Provider by the International Accreditors for Continuing Education and Training (IACET), 2201 Cooperative Way, Suite 600, Herndon, VA 20171, USA. In obtaining this authority, Haward Technology has demonstrated that it complies with the **ANSI/IACET 2018-1 Standard** which is widely recognized as the standard of good practice internationally. As a result of our Authorized Provider membership status, Haward Technology is authorized to offer IACET CEUs for its programs that qualify under the **ANSI/IACET 2018-1 Standard**.

Haward Technology's courses meet the professional certification and continuing education requirements for participants seeking **Continuing Education Units** (CEUs) in accordance with the rules & regulations of the International Accreditors for Continuing Education & Training (IACET). IACET is an international authority that evaluates programs according to strict, research-based criteria and guidelines. The CEU is an internationally accepted uniform unit of measurement in qualified courses of continuing education.

Haward Technology Middle East will award **1.8 CEUs** (Continuing Education Units) or **18 PDHs** (Professional Development Hours) for participants who completed the total tuition hours of this program. One CEU is equivalent to ten Professional Development Hours (PDHs) or ten contact hours of the participation in and completion of Haward Technology programs. A permanent record of a participant's involvement and awarding of CEU will be maintained by Haward Technology. Haward Technology will provide a copy of the participant's CEU and PDH Transcript of Records upon request.

Course Instructor(s)

This course will be conducted by the following instructor(s). However, we have the right to change the course instructor(s) prior to the course date and inform participants accordingly:



Mr. Pete Du Plessis MSc, BSc, is a **Senior Quality Consultant** with over **25 years** of extensive experience. His expertise lies extensively in the areas of **Internal Audit, Statistical Process Control, Statistical Data, Quality Management, Project Management, Value Engineering, Estimation & Costing, Human Resource Management, Financial Management, Planning, Budgeting & Cost Control, Document Management, Record Management, Contract Management, Negotiation Management, Risk Management, Leadership & Business Management, Production & Inventory Management, Warehousing, Purchasing & Marketing Management, Work Engineering & Advanced Production Techniques, Production Logistics, Supply Chain Management, Fleet Management, Stores & Stock Control, Human Resources & Industrial Relations Management, Quality Assurance & Control, Operations Management, Project Management, and Strategic Planning & Management.** Previously, he was the **Quality Manager** of **Benteler Automotive**, where he was responsible for implementing, controlling and managing quality and technical department processes and systems and mobilizing the quality control department, procedures and quality management system.

During his career life, Mr. Plessis has worked with several prestigious companies occupying numerous challenging managerial and technical positions such as being the **Project Manager, Operations Manager, Technical & Quality Manager, Logistics & Purchasing Manager, Head Metrologist, Quality Engineer, Project Engineer, Materials & Warehouse Planner & Controller** and **Quality Control Inspector**. All throughout his career, he has mastered and specialized in the application of project management, warehouse & inventory control, value chain analysis, logistics & strategic planning, process flow analysis, business process evaluation & re-engineering, master-plan development, capacity planning and site space-planning & development.

Mr. Plessis has **Master** and **Bachelor** degrees with **Honours** in **Industrial Engineering & Management**. Further, he has gained **Diploma in Quality & Production Management**. He is also a **Certified Trainer** as well as a **Certified Assessor & Moderator** with the Manufacturing, Engineering & Related Services Education and Training Authority (MERSETA).

Training Methodology

All our Courses are including **Hands-on Practical Sessions** using equipment, State-of-the-Art Simulators, Drawings, Case Studies, Videos and Exercises. The courses include the following training methodologies as a percentage of the total tuition hours:-

- 30% Lectures
- 20% Practical Workshops & Work Presentations
- 30% Hands-on Practical Exercises & Case Studies
- 20% Simulators (Hardware & Software) & Videos

In an unlikely event, the course instructor may modify the above training methodology before or during the course for technical reasons.

Learning Design & Customization

This course can be customized to the exact requirements of clients. Haward Technology is so proud of our huge capabilities in tailoring our courses to the training needs of our valued clients.

Course Program

The following program is planned for this course. However, the course instructor(s) may modify this program before or during the workshop for technical reasons with no prior notice to participants. Nevertheless, the course objectives will always be met:

Day 1

0730 – 0800	<i>Registration & Coffee</i>
0800 – 0815	<i>Welcome & Introduction</i>
0815 – 0830	PRE-TEST
0830 – 0930	<i>Internal Audit in the Modern Organization</i> <i>Evolution of Internal Auditing • Purpose & Value of Internal Audit • Internal Audit's Role in Governance, Risk, & Control • Emerging Expectations of Internal Auditors</i>
0930 – 0945	<i>Break</i>
0945 – 1030	<i>International Professional Practices Framework (IPPF) & IIA Standards</i> <i>Overview of the IIA Global Internal Audit Standards • Core Principles for Internal Auditing • Internal Audit Ethics & Professional Conduct • Conformance & Quality Requirements</i>
1030 – 1100	<i>Corporate Governance & The Three Lines Model</i> <i>Governance Structures & Accountability • Roles & Responsibilities within the Three Lines Model • Board & Audit Committee Expectations • Internal Audit's Governance Responsibilities</i>
1100 – 1130	<i>Enterprise Risk Management (ERM) for Auditors</i> <i>Risk Management Principles & Frameworks • Risk Appetite & Risk Tolerance • Risk Identification & Assessment Techniques • Integrating Risk into Audit Activities</i>
1130 - 1215	<i>Risk-Based Audit Planning</i> <i>Developing the Audit Universe • Annual & Strategic Audit Planning • Audit Prioritization Methodologies • Resource Allocation & Scheduling</i>



1215 – 1230	Break
1230 – 1300	Internal Control Frameworks & Assessment COSO Internal Control Framework • Control Environment Evaluation • Control Design & Operating Effectiveness • Identifying Control Gaps & Weaknesses
1300 – 1420	Workshops Audit Universe Development Exercise • Enterprise Risk Assessment Workshop • Risk-Based Audit Plan Development • Internal Control Evaluation Case Study
1420 – 1430	Recap Using this Course Overview, the Instructor(s) will Brief Participants about the Topics that were Discussed Today and Advise Them of the Topics to be Discussed Tomorrow
1430	Lunch & End of Day One

Day 2

0730 – 0830	Audit Engagement Planning Defining Audit Objectives & Scope • Understanding Processes & Activities • Preliminary Risk Assessment • Audit Program Development
0830 – 0930	Audit Fieldwork & Evidence Collection Gathering Sufficient & Appropriate Evidence • Interviews & Inquiry Techniques • Observation & Walkthrough Procedures • Document Review & Testing Methods
0930 – 0945	Break
0945 – 1100	Audit Testing & Sampling Techniques Compliance Testing Approaches • Substantive Testing Techniques • Statistical & Judgmental Sampling • Evaluating Test Results
1100 – 1130	Internal Audit Data Analytics Role of Data Analytics in Internal Audit • Data Extraction & Preparation • Exception & Trend Analysis • Continuous Auditing & Monitoring
1130 - 1215	Fraud Risk & Fraud Auditing Fraud Risk Assessment Methodologies • Fraud Indicators & Red Flags • Fraud Detection Techniques • Internal Audit's Role in Fraud Prevention
1215 – 1230	Break
1230 – 1330	Operational, Financial, & Compliance Audits Operational Audit Methodologies • Financial Control Assessments • Regulatory Compliance Auditing • Evaluating Efficiency, Effectiveness, & Economy
1330 – 1420	Workshops Audit Planning & Scoping Simulation • Audit Interview & Evidence Collection Role-Play • Data Analytics for Internal Auditors Exercise • Fraud Detection & Investigation Case Study
1420 – 1430	Recap Using this Course Overview, the Instructor(s) will Brief Participants about the Topics that were Discussed Today and Advise Them of the Topics to be Discussed Tomorrow
1430	Lunch & End of Day Two



Day 3

0730 – 0830	Audit Findings Development & Root Cause Analysis <i>Developing Clear Audit Findings • Identifying Root Causes • Assessing Impact & Risk Exposure • Prioritizing Recommendations</i>
0830 – 0930	Internal Audit Reporting Excellence <i>Structuring Effective Audit Reports • Writing Clear & Actionable Recommendations • Executive & Board Reporting Techniques • Communicating Difficult Messages Professionally</i>
0930 – 0945	Break
0945 – 1100	Audit Follow-Up & Issue Management <i>Corrective Action Monitoring • Follow-Up Audit Procedures • Issue Tracking Systems • Reporting Remediation Progress</i>
1100 – 1130	Quality Assurance & Improvement Program (QAIP) <i>Internal Assessment Requirements • External Quality Assessments • Audit Performance Metrics & KPIs • Continuous Improvement Practices</i>
1130 - 1215	Emerging Risks & Future-Focused Auditing <i>Cybersecurity & Information Security Risks • Digital Transformation & Technology Risks • ESG & Sustainability Auditing • Third-Party & Supply Chain Risks</i>
1215 – 1230	Break
1230 – 1300	Strategic Internal Audit Leadership <i>Building Stakeholder Relationships • Influencing Management & the Board • Developing High-Performing Audit Teams • Creating a Future-Ready Internal Audit Function</i>
1300 – 1345	Workshops <i>Root Cause Analysis Workshop • Audit Report Writing & Review Exercise • Audit Committee Presentation Simulation • Internal Audit Transformation Roadmap Project</i>
1345– 1400	Course Conclusion <i>Using this Course Overview, the Instructor(s) will Brief Participants about the Course Topics that were Covered During the Course</i>
1400 – 1415	POST-TEST
1415 – 1430	<i>Presentation of Course Certificates</i>
1430	<i>Lunch & End of Course</i>

Learning Outcomes

By the end of this course, participants will be able to:

- Apply the IIA Global Internal Audit Standards and international best practices
- Develop risk-based audit plans aligned with organizational objectives
- Evaluate governance, risk management, and internal control systems effectively
- Plan and execute internal audit engagements using structured methodologies
- Utilize audit testing, sampling, and data analytics techniques
- Identify fraud risks, control weaknesses, and operational inefficiencies
- Develop meaningful audit findings and actionable recommendations
- Communicate audit results effectively to management, audit committees and boards
- Implement quality assurance and continuous improvement practices within the audit function
- Position internal audit as a strategic advisor that enhances organizational performance, resilience and governance effectiveness



Practical Sessions

This practical and highly-interactive course includes real-life case studies and exercises:-



Course Coordinator

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