



COURSE OVERVIEW PE0127-4D Operations Abnormalities & Plant Upset

Course Title

Operations Abnormalities & Plant Upset

Course Date/Venue

December 21-24, 2026/Ajman Meeting Room, Grand Millennium Al Wahda Hotel, Abu Dhabi, UAE

Course Reference

PE0127-4D

Course Duration/Credits

Four days/2.4 CEUs/24 PDHs



Course Description



This practical and highly-interactive course includes various practical sessions and exercises. Theory learnt will be applied using our state-of-the-art simulators.



This course is designed to provide participants with a detailed and up-to-date overview of Operations Abnormalities & Plant Upset. It covers the normal and abnormal operations, types and categories of abnormal situations; the root causes of plant upsets, early warning signs and alarm management; the risk assessment during abnormal conditions by identifying and evaluating risks, using risk matrices and consequence analysis and barriers and safeguards; the pressure vessel, tank upsets, pumps, compressors and mechanical equipment failures; the heat exchanger and furnace abnormalities, reactors and process chemistry deviations; the utility and support system failures, control loop and instrumentation issues; the systematic troubleshooting approach, process data and trends; and the communication and shift handover best practices.



During this interactive course, participants will learn the emergency operations, safe shutdown and managing human error in upset situations; the process hazard analysis (PHA), design considerations to minimize upsets, predictive maintenance and condition monitoring; the process hazard analysis (PHA), design considerations to minimize upsets, predictive maintenance and condition monitoring; the abnormal situation management (ASM) framework, incident investigation, root cause analysis (RCA) and cross-functional coordination during upsets; the reporting and documentation of abnormalities; and the management of change (MOC) during upsets.

Course Objectives

Upon the successful completion of this course, each participant will be able to:-

- Apply and gain in-depth knowledge on operations abnormalities and plant upset
- Discuss the normal and abnormal operations, types and categories of abnormal situations, root causes of plant upsets and early warning signs and alarm management
- Carryout risk assessment during abnormal conditions by identifying and evaluating risks, using risk matrices and consequence analysis and barriers and safeguards
- Identify pressure vessel and tank upsets, pumps, compressors and mechanical equipment failures and heat exchanger and furnace abnormalities
- Recognize reactors and process chemistry deviations, utility and support system failures and control loop and instrumentation issues
- Employ systematic troubleshooting approach, process data and trends and communication and shift handover best practices
- Apply emergency operations and safe shutdown and manage human error in upset situations
- Implement process hazard analysis (PHA), design considerations to minimize upsets, predictive maintenance and condition monitoring
- Apply alarm rationalization and management, operator training and simulation, incident investigation (RCA) follow-up, updating SOPs and work instructions and continuous improvement systems
- Describe abnormal situation management (ASM) framework, incident investigation and root cause analysis (RCA) and cross-functional coordination during upsets
- Report and document abnormalities and apply management of change (MOC) during upsets

Exclusive Smart Training Kit - H-STK®



Participants of this course will receive the exclusive “Haward Smart Training Kit” (H-STK®). The H-STK® consists of a comprehensive set of technical content which includes **electronic version** of the course materials conveniently saved in a **Tablet PC**.

Who Should Attend

This course provides an overview of all significant aspects and considerations of operations abnormalities and plant upset for superintendents, supervisors and foremen in various departments of process plants (production, operations, maintenance, utility, etc.). Further, the course is suitable for emergency teams, managers, supervisors and other technical staff.

Course Fee

US\$ 4,500 per Delegate + **VAT**. This rate includes H-STK® (Haward Smart Training Kit), buffet lunch, coffee/tea on arrival, morning & afternoon of each day.

Course Certificate(s)

Internationally recognized certificates will be issued to all participants of the course who completed a minimum of 80% of the total tuition hours.

Certificate Accreditations

Haward's certificates are accredited by the following international accreditation organizations: -

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British Accreditation Council (BAC)

Haward Technology is accredited by the **British Accreditation Council** for **Independent Further and Higher Education** as an **International Centre**. Haward's certificates are internationally recognized and accredited by the British Accreditation Council (BAC). BAC is the British accrediting body responsible for setting standards within independent further and higher education sector in the UK and overseas. As a BAC-accredited international centre, Haward Technology meets all of the international higher education criteria and standards set by BAC.

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The International Accreditors for Continuing Education and Training (IACET - USA)

Haward Technology is an Authorized Training Provider by the International Accreditors for Continuing Education and Training (IACET), 2201 Cooperative Way, Suite 600, Herndon, VA 20171, USA. In obtaining this authority, Haward Technology has demonstrated that it complies with the **ANSI/IACET 2018-1 Standard** which is widely recognized as the standard of good practice internationally. As a result of our Authorized Provider membership status, Haward Technology is authorized to offer IACET CEUs for its programs that qualify under the **ANSI/IACET 2018-1 Standard**.

Haward Technology's courses meet the professional certification and continuing education requirements for participants seeking **Continuing Education Units** (CEUs) in accordance with the rules & regulations of the International Accreditors for Continuing Education & Training (IACET). IACET is an international authority that evaluates programs according to strict, research-based criteria and guidelines. The CEU is an internationally accepted uniform unit of measurement in qualified courses of continuing education.

Haward Technology Middle East will award **2.4 CEUs** (Continuing Education Units) or **24 PDHs** (Professional Development Hours) for participants who completed the total tuition hours of this program. One CEU is equivalent to ten Professional Development Hours (PDHs) or ten contact hours of the participation in and completion of Haward Technology programs. A permanent record of a participant's involvement and awarding of CEU will be maintained by Haward Technology. Haward Technology will provide a copy of the participant's CEU and PDH Transcript of Records upon request.

Accommodation

Accommodation is not included in the course fees. However, any accommodation required can be arranged at the time of booking.

Course Instructor(s)

This course will be conducted by the following instructor(s). However, we have the right to change the course instructor(s) prior to the course date and inform participants accordingly:



Mr. Mervyn Frampton is a **Senior Process Engineer** with over **30 years** of industrial experience within the **Oil & Gas, Refinery, Petrochemical and Utilities** industries. His expertise lies extensively in the areas of **Process Troubleshooting, Distillation Towers, Fundamentals of Distillation** for Engineers, **Distillation Operation and Troubleshooting, Advanced Distillation Troubleshooting, Distillation Technology, Vacuum Distillation, Distillation Column Operation & Control, Oil Movement Storage & Troubleshooting, Process Equipment Design, Applied Process Engineering Elements, Process Plant Optimization, Revamping & Debottlenecking, Process Plant Troubleshooting & Engineering Problem Solving, Process Plant Monitoring, Catalyst Selection & Production Optimization, Operations Abnormalities & Plant Upset, Process Plant Start-up & Commissioning, Clean Fuel Technology & Standards, Flare, Blowdown & Pressure Relief Systems, Oil & Gas Field Commissioning Techniques, Pressure Vessel Operation, Gas Processing, Chemical Engineering, Process Reactors Start-Up & Shutdown, Gasoline Blending** for Refineries, **Urea Manufacturing Process Technology, Continuous Catalytic Reformer (CCR), De-Sulfurization Technology, Advanced Operational & Troubleshooting Skills, Principles of Operations Planning, Rotating Equipment Maintenance & Troubleshooting, Hazardous Waste Management & Pollution Prevention, Heat Exchangers & Fired Heaters Operation & Troubleshooting, Energy Conservation Skills, Catalyst Technology, Refinery & Process Industry, Chemical Analysis, Process Plant, Commissioning & Start-Up, Alkylation, Hydrogenation, Dehydrogenation, Isomerization, Hydrocracking & De-Alkylation, Fluidized Catalytic Cracking, Catalytic Hydrodesulphuriser, Kerosene Hydrotreater, Thermal Cracker, Catalytic Reforming, Polymerization, Polyethylene, Polypropylene, Pilot Water Treatment Plant, Gas Cooling, Cooling Water Systems, Effluent Systems, Material Handling Systems, Gasifier, Gasification, Coal Feeder System, Sulphur Extraction Plant, Crude Distillation Unit, Acid Plant Revamp and Crude Pumping**. Further, he is also well-versed in HSE Leadership, Project and Programme Management, Project Coordination, Project Cost & Schedule Monitoring, Control & Analysis, Team Building, Relationship Management, Quality Management, Performance Reporting, Project Change Control, Commercial Awareness and Risk Management.

During his career life, Mr. Frampton held significant positions as the **Site Engineering Manager, Senior Project Manager, Process Engineering Manager, Project Engineering Manager, Construction Manager, Site Manager, Area Manager, Procurement Manager, Factory Manager, Technical Services Manager, Senior Project Engineer, Process Engineer, Project Engineer, Assistant Project Manager, Handover Coordinator and Engineering Coordinator** from various international companies such as the **Fluor Daniel, KBR South Africa, ESKOM, MEGAWATT PARK, CHEMEPIC, PDPS, CAKASA, Worley Parsons, Lurgi South Africa, Sasol, Foster Wheeler, Bosch & Associates, BCG Engineering Contractors, Fina Refinery, Sapref Refinery, Secunda Engine Refinery** just to name a few.

Mr. Frampton has a **Bachelor's degree in Industrial Chemistry** from **The City University in London**. Further, he is a **Certified Instructor/Trainer, a Certified Internal Verifier/Trainer/Assessor** by the **Institute of Leadership & Management (ILM)** and has delivered numerous trainings, courses, workshops, conferences and seminars internationally.

Training Methodology

All our Courses are including **Hands-on Practical Sessions** using equipment, State-of-the-Art Simulators, Drawings, Case Studies, Videos and Exercises. The courses include the following training methodologies as a percentage of the total tuition hours:-

- 30% Lectures
- 20% Practical Workshops & Work Presentations
- 30% Hands-on Practical Exercises & Case Studies
- 20% Simulators (Hardware & Software) & Videos

In an unlikely event, the course instructor may modify the above training methodology before or during the course for technical reasons.

Course Program

The following program is planned for this course. However, the course instructor(s) may modify this program before or during the course for technical reasons with no prior notice to participants. Nevertheless, the course objectives will always be met:

Day 1: Monday, 21st of December 2026

0730 – 0800	Registration & Coffee
0800 – 0815	Welcome & Introduction
0815 – 0830	PRE-TEST
0830 – 0900	Understanding Normal versus Abnormal Operations Definitions and Distinctions • Indicators of Abnormal Conditions • Impact on Safety, Quality, and Production • Common Causes in Process Industries
0900 – 0930	Types & Categories of Abnormal Situations Equipment-Related Upsets • Process Chemistry Deviations • External Factor-Induced Abnormalities • Control System Failures
0930 – 0945	Break
0945 – 1030	Root Causes of Plant Upsets Mechanical Failures • Instrumentation/Control Failures • Human Error and Misoperation • Raw Material and Feedstock Variations
1030 – 1100	Early Warning Signs & Alarm Management Recognizing Early Indicators • Role of Alarm Systems in Detection • Nuisance Alarms versus Critical Alarms • Prioritizing Operator Response
1100 – 1130	Risk Assessment during Abnormal Conditions Identifying and Evaluating Risks • Using Risk Matrices and Consequence Analysis • Barriers and Safeguards • Immediate versus Long-Term Actions
1130 – 1215	Case Studies of Major Industrial Upsets Real-World Incidents and Causes • Lessons Learned • Mitigation Practices Used • How to Apply Lessons Locally
1215 – 1230	Break
1230 – 1330	Pressure Vessel & Tank Upsets Overpressure Scenarios • Vacuum Conditions and Collapse • Relief Valve Failures • Foam, Carryover and Contamination
1330 – 1420	Pumps, Compressors & Mechanical Equipment Failures Cavitation and Vibration Issues • Seal and Bearing Failures • Reciprocating versus Centrifugal Upsets • Diagnostic Tools for Troubleshooting
1420 – 1430	Recap Using this Course Overview, the Instructor(s) will Brief Participants about the Topics that were Discussed Today and Advise Them of the Topics to be Discussed Tomorrow
1430	Lunch & End of Day One



Day 2: Tuesday, 22nd of December 2026

0730 – 0830	Heat Exchanger & Furnace Abnormalities Fouling and Plugging • Tube Rupture and Leaks • Burner Instability • Effects on Downstream Operations
0830 – 0930	Reactors & Process Chemistry Deviations Catalyst Deactivation • Exothermic Runaway Reactions • Feed Composition Change Impacts • Temperature/Pressure Control Loss
0930 – 0945	Break
0945 – 1100	Utility & Support System Failures Cooling Water Failure • Steam System Upsets • Instrument Air Loss • Power Failure and Backup Systems
1100 – 1130	Control Loop & Instrumentation Issues Sensor Drift and Failure • Controller Tuning Problems • Valve Malfunction • DCS and PLC Errors
1130 – 1230	Systematic Troubleshooting Approach Defining the Problem • Root Cause Hypothesis • Data Collection & Trend Analysis • Validating Solutions
1230 – 1245	Break
1245 – 1330	Use of Process Data & Trends Analyzing Real-Time Process Trends • Pattern Recognition • Identifying Leading versus Lagging Indicators • Historical Data Correlation
1330 – 1345	Communication & Shift Handover Best Practices Structured Communication Protocols • Ensuring Situational Awareness • Logbook and Verbal Handover Methods • Avoiding Miscommunication
1420 – 1430	Recap Using this Course Overview, the Instructor(s) will Brief Participants about the Topics that were Discussed Today and Advise Them of the Topics to be Discussed Tomorrow
1430	Lunch & End of Day Two

Day 3: Wednesday, 23rd of December 2026

0730 – 0830	Emergency Operations & Safe Shutdown Emergency Response Plans • Partial versus Full Shutdown Procedures • Interlocks and Safety Instrumented Systems (SIS) • Operator Responsibilities Under Upset
0830 – 0930	Managing Human Error in Upset Situations Understanding Cognitive Load • Human-Machine Interface (HMI) Challenges • Reducing Reliance on Operator Memory • Tools for Decision Support
0930 – 0945	Break
0945 – 1100	Case Studies on Diagnosing Complex Upsets Multi-Factor Upset Scenarios • Escalation Due to Misdiagnosis • Coordination Across Departments • Recovery and Investigation
1100 – 1130	Process Hazard Analysis (PHA) HAZOP and What-If Reviews • Identifying Abnormal Scenarios • Safeguard Verification • Integration with MOC Process
1130 – 1230	Design Considerations to Minimize Upsets Design Margins and Redundancy • Equipment and Control System Selection • Layout to Support Troubleshooting • Built-In Safety Systems
1230 – 1245	Break



1245 – 1330	Predictive Maintenance & Condition Monitoring Vibration Analysis and Thermography • Oil Analysis and Corrosion Monitoring • Predictive Analytics in Abnormality Prevention • Link with Reliability-Centered Maintenance
1330 – 1420	Alarm Rationalization & Management Alarm Prioritization • Elimination of Nuisance Alarms • Alarm Shelving and Suppression • Operator Overload Avoidance
1420 – 1430	Recap Using this Course Overview, the Instructor(s) will Brief Participants about the Topics that were Discussed Today and Advise Them of the Topics to be Discussed Tomorrow
1430	Lunch & End of Day Three

Day 4: Thursday, 24th of December 2026

0730 – 0830	Operator Training & Simulation Scenario-Based Simulator Training • Emergency Drills • Process Simulation Software • Learning from Mock Upsets
0830 – 0930	Implementing Lessons Learned Incident Investigation (RCA) Follow-Up • Sharing Learnings Across Teams • Updating SOPs and Work Instructions • Continuous Improvement Systems
0930 – 0945	Break
0945 – 1100	Abnormal Situation Management (ASM) Framework What is ASM? • ASM Lifecycle and Strategies • Role of Automation and Control • Industry Standards for ASM
1100 – 1130	Incident Investigation & Root Cause Analysis (RCA) Types of Investigations (5 Whys, Fishbone, Etc.) • Gathering Facts and Timeline • Recommendations and Follow-Up • Communication of Findings
1130 – 1230	Cross-Functional Coordination during Upsets Role of Operations, Maintenance, and Safety • Roles and Responsibilities • Decision-Making Authority • Crisis Management Coordination
1230 – 1245	Break
1245 – 1330	Reporting & Documentation of Abnormalities What to Document and Why • Tools (eLogs, EHS Systems) • Trend Analysis from Reports • Compliance and Audit Trail
1330 – 1345	Management of Change (MOC) during Upsets Temporary versus Permanent Changes • MOC Process during Emergency Fixes • Ensuring Proper Review and Sign-Off • Integrating with PSM
1345 – 1400	Course Conclusion Using this Course Overview, the Instructor(s) will Brief Participants about the Course Topics that were Covered During the Course
1400 – 1415	POST-TEST
1415 – 1430	Presentation of Course Certificates
1430	Lunch & End of Course



Simulator (Hands-on Practical Sessions)

Practical sessions will be organized during the course for delegates to practice the theory learnt. Delegates will be provided with an opportunity to carryout various exercises using our state-of-the-art “QRA System” simulators,” Hexagon PPM COADE TANK 2017 SP1 v9.00.01 (Integrph Tank)”, “AME Tank v7.7”, “SIM 3300 Centrifugal Compressor Simulator”, CBT on Compressors”, “Centrifugal Pumps and Troubleshooting Guide 3.0” and “Heat Exchanger Tube Layout Simulator

The screenshot displays the QRA System Simulator interface for an airplane project. It includes several key components:

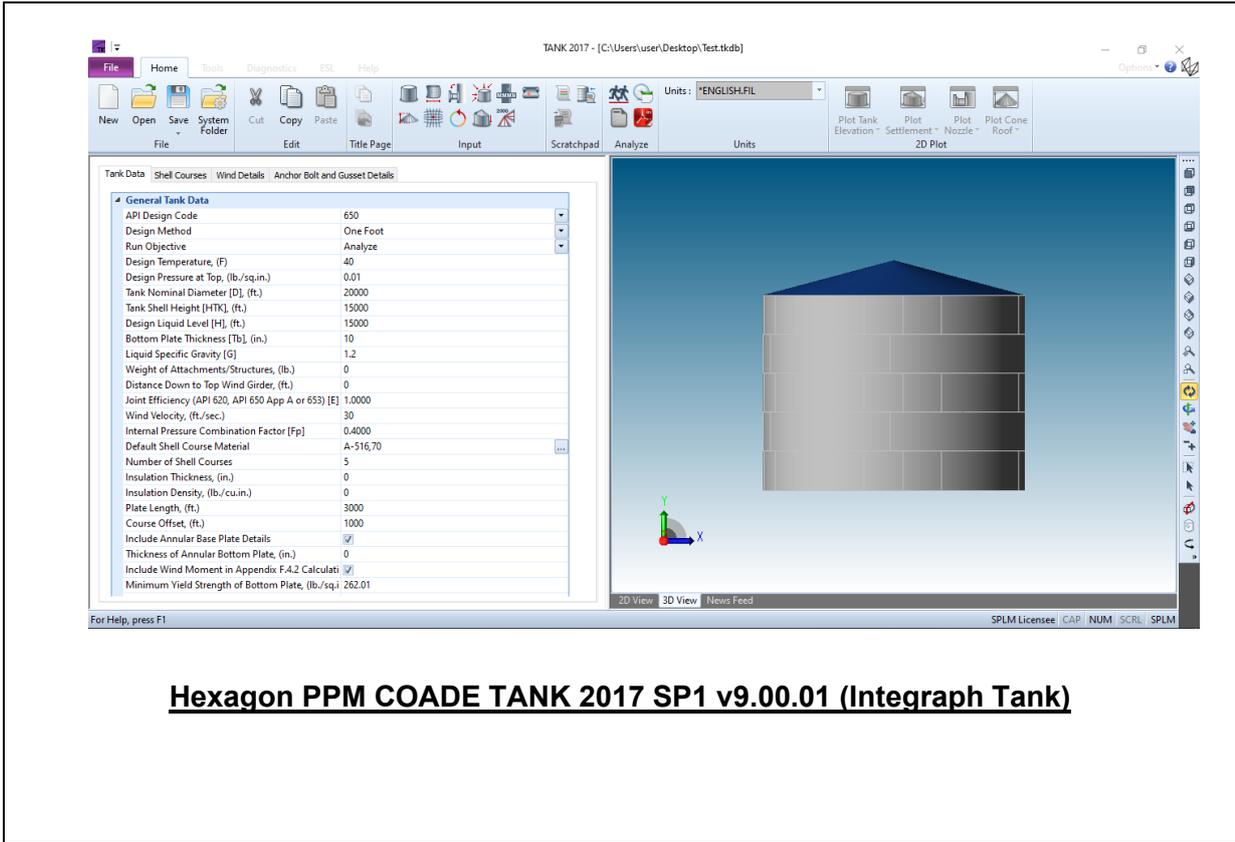
- Project Tree:** A hierarchical view of the system components such as Engine System, Fuel System, Propeller, Aerodynamics, Wings System, Avionics, and Tires.
- Fault Tree Diagram:** A logic diagram showing the relationship between various failure events like "Alternate Braking Worked", "Auto Pilot Failed", and "Foreign Body Stuck".
- QRA Results View:** A window showing a Cumulative Distribution Function (CDF) graph for a selected level (Airplane). The graph plots CDF against a parameter value. A table of statistics is provided:

STATISTIC	VALUE
Mean	0.3501
1st	0.163
5th	0.2202
10th	0.2644
50th	0.3513
90th	0.4439
95th	0.469
99th	0.5157

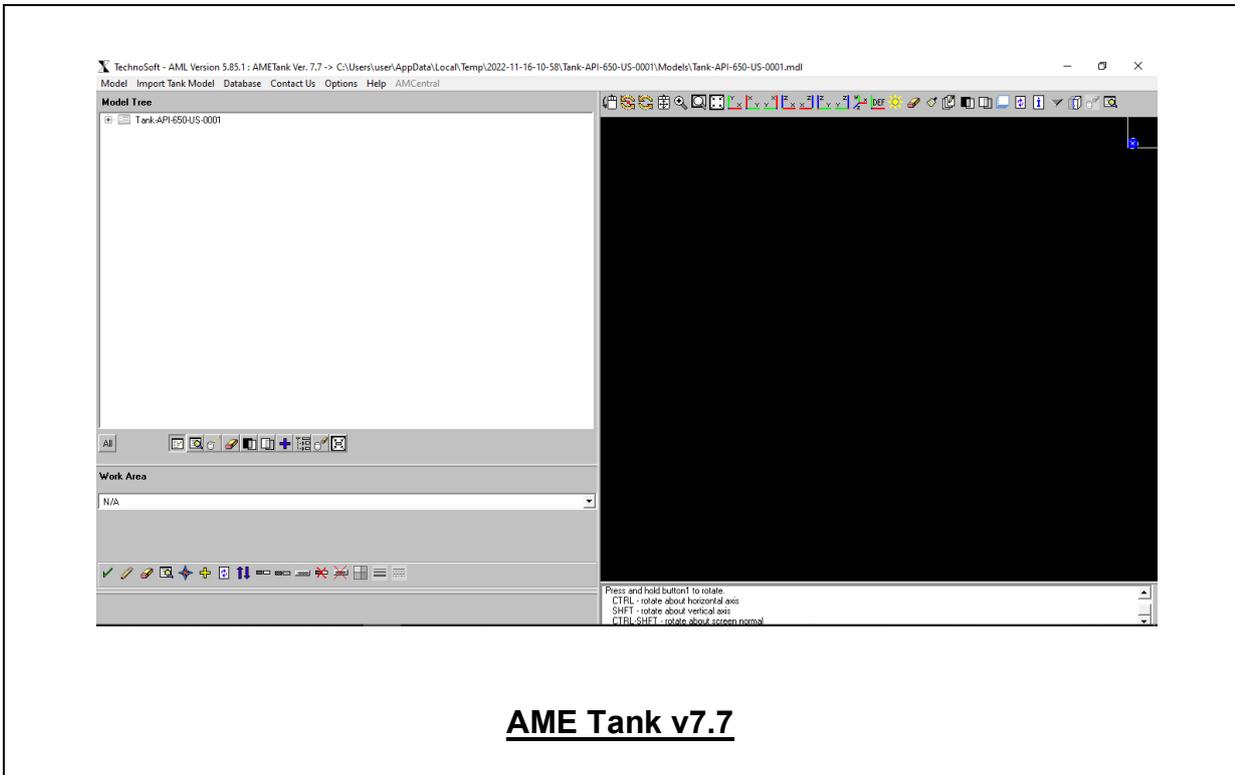
Below the graph, applicable end states and consequences are listed, including MAAC, POSSD, and MAAD.

QRA System Simulator



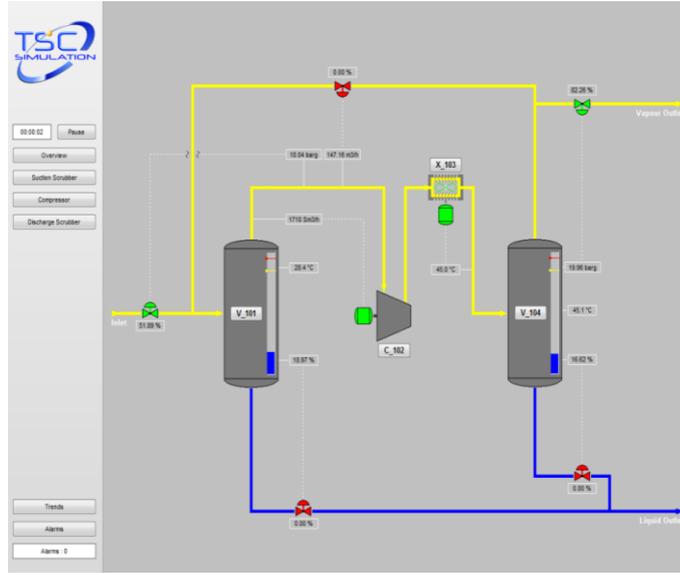


Hexagon PPM COADE TANK 2017 SP1 v9.00.01 (Integraph Tank)

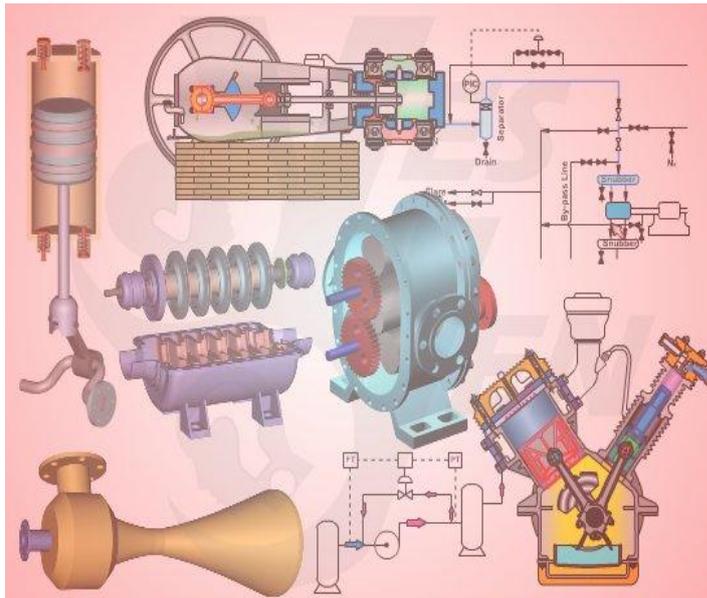


AME Tank v7.7

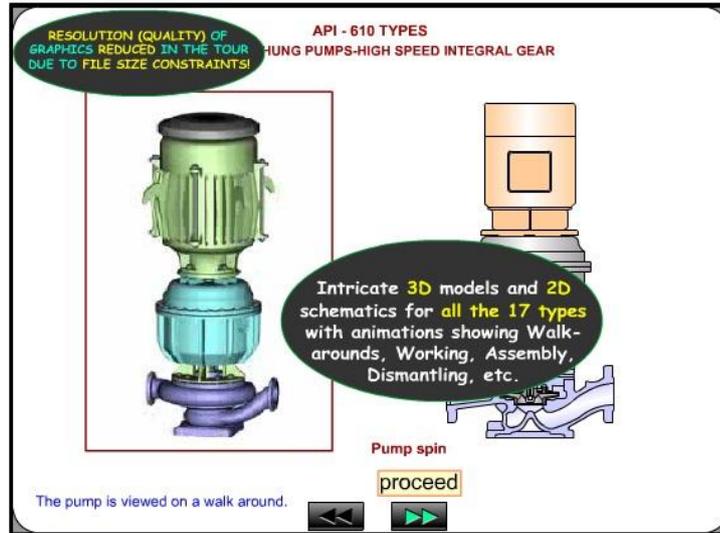




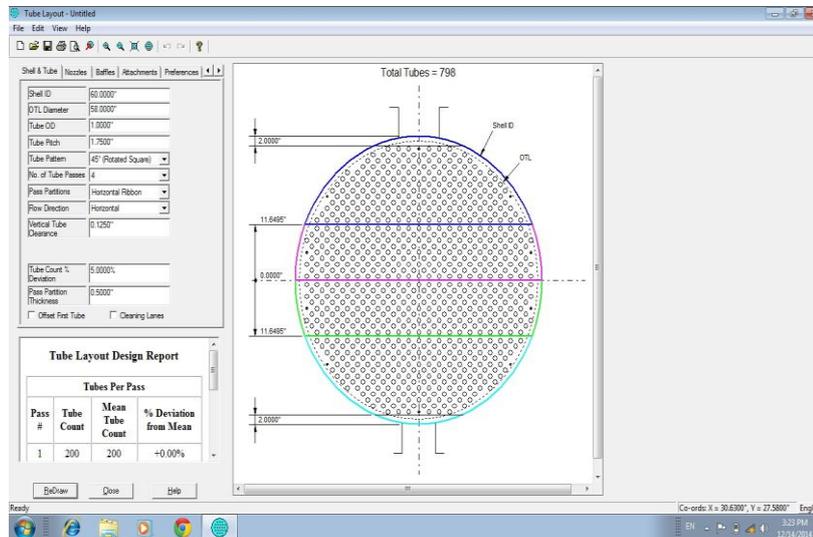
SIM 3300 Centrifugal Compressor Simulator



CBT on Compressors



Centrifugal Pumps and Troubleshooting Guide 3.0



Heat Exchanger Tube Layout Simulator

Course Coordinator

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