

COURSE OVERVIEW TM0113
Implementation Guidelines to the Legal Aspects of Corporate Governance

Course Title

Implementation Guidelines to the Legal Aspects of Corporate Governance

Course Date/Venue

Please see page 3

Course Reference

TM0113

Course Duration/Credits

Five days/3.0 CEUs/30 PDHs



Course Description



This practical and highly-interactive course includes real-life case studies and exercises where participants will be engaged in a series of interactive small groups and class workshops.



This course is designed to provide participants with a detailed and up-to-date overview of Implementation Guidelines to the Legal Aspects of Corporate Governance. It covers the concepts, importance and purpose of corporate governance including the existing systems and structures; the key legal provisions and their implications, compliance requirements and potential legal pitfalls; the globally accepted best practices in corporate governance and the gap between current state and best practices; and the potential challenges, pitfalls and legal guidelines for smooth operations.



Further, the course will also discuss the importance of compliant communication both internally and externally; addressing privacy concerns and regulatory standards; the concept of delineation of duties and the legal perspectives on ensuring clear role demarcations; the duties essential for corporate compliance and legal implications of neglecting these duties; and the arm's length and implications in inter-company transactions and the legal backdrop.

During this interactive course, participants will learn to ensure sustained compliance and apply continuous monitoring and realignment strategies; the legal timelines and frameworks and implications of missed timelines or incorrect filings; balance stakeholder interests with corporate privacy and legal aspects of ensuring transparency without overexposure; keeping abreast with the dynamic legal environment and mechanisms for regular update checks and alignment; study the journey of organizations that successfully integrated strong corporate governance; and the common mistakes and best practices to learn from.

Course Objectives

Upon the successful completion of this course, each participant will be able to:-

- Apply and gain comprehensive knowledge on implementation guidelines to the legal aspects of corporate governance
- Understand the current state of the organization's corporate governance framework, including inter-company and intra-group relations, compliance, governance and corporate and group communication legal regulations
- Identify the direction of best practices in corporate governance and pertaining legal perspectives
- Learn how to implement and embed the legal aspects of applicable corporate governance regulations when handling inter-company/ intragroup relation and corporate/ group communications (internal and external)
- Identify lessons learnt and/or regulatory updates pertaining to corporate governance
- Define what is meant by delineation of duties, key compliance duties, arm's length standards, corporate compliance, filing and reporting duties, managing transparency
- Discuss the concepts, importance and purpose of corporate governance including the existing systems and structures
- Recognize the key legal provisions and their implications as well as compliance requirements and potential legal pitfalls
- Carryout globally accepted best practices in corporate governance and analyze the gap between current state and best practices
- Identify potential challenges, pitfalls and legal guidelines for smooth operations
- Explain the importance of compliant communication both internally and externally and address privacy concerns and regulatory standards
- Define the concept of delineation of duties and the legal perspectives on ensuring clear role demarcations
- Identify the duties essential for corporate compliance and legal implications of neglect these duties
- Define arm's length and recognize the implications in inter-company transactions and the legal backdrop

- Ensure sustained compliance and apply continuous monitoring and realignment strategies
- Discuss the legal timelines and frameworks and implications of missed timelines or incorrect filings
- Balance stakeholder interests with corporate privacy and legal aspects of ensuring transparency without overexposure
- Keep abreast with the dynamic legal environment and apply mechanisms for regular update checks and alignment
- Study the journey of organizations that successfully integrated strong corporate governance as well as the common mistakes and best practices to learn from

Exclusive Smart Training Kit - H-STK®



Participants of this course will receive the exclusive “Haward Smart Training Kit” (H-STK®). The H-STK® consists of a comprehensive set of technical content which includes **electronic version** of the course materials conveniently saved in a **Tablet PC**.

Who Should Attend

This course provides an overview of all significant aspects and considerations of implementation guidelines to the legal aspects of corporate governance for corporate executives, board members, legal professionals, corporate secretaries, compliance officers, audit and risk management professionals, investors and shareholders, regulatory and government officials, business consultants, human resources professionals and those who have a professional interest or involvement in corporate governance, legal compliance and management within organizations.

Course Date/Venue

Session(s)	Date	Venue
1	June 15-19, 2025	Tamra Meeting Room, Al Bandar Rotana Creek, Dubai, UAE
2	August 18-22, 2025	TBA Meeting Room, Grand Hyatt Athens, Athens, Greece
3	October 20-24, 2025	TBA Meeting Room, JW Marriott Hotel Madrid, Madrid, Spain
4	December 08-12, 2025	Hampstead Meeting Room, London Marriott Hotel Regents Park, London, UK

Accommodation

Accommodation is not included in the course fees. However, any accommodation required can be arranged at the time of booking.

Course Certificate(s)

Internationally recognized certificates will be issued to all participants of the course who completed a minimum of 80% of the total tuition hours.

Certificate Accreditations

Haward’s certificates are accredited by the following international accreditation organizations: -

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British Accreditation Council (BAC)

Haward Technology is accredited by the **British Accreditation Council** for **Independent Further and Higher Education** as an **International Centre**. Haward’s certificates are internationally recognized and accredited by the British Accreditation Council (BAC). BAC is the British accrediting body responsible for setting standards within independent further and higher education sector in the UK and overseas. As a BAC-accredited international centre, Haward Technology meets all of the international higher education criteria and standards set by BAC.

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The International Accreditors for Continuing Education and Training (IACET - USA)

Haward Technology is an Authorized Training Provider by the International Accreditors for Continuing Education and Training (IACET), 2201 Cooperative Way, Suite 600, Herndon, VA 20171, USA. In obtaining this authority, Haward Technology has demonstrated that it complies with the **ANSI/IACET 2018-1 Standard** which is widely recognized as the standard of good practice internationally. As a result of our Authorized Provider membership status, Haward Technology is authorized to offer IACET CEUs for its programs that qualify under the **ANSI/IACET 2018-1 Standard**.

Haward Technology’s courses meet the professional certification and continuing education requirements for participants seeking **Continuing Education Units** (CEUs) in accordance with the rules & regulations of the International Accreditors for Continuing Education & Training (IACET). IACET is an international authority that evaluates programs according to strict, research-based criteria and guidelines. The CEU is an internationally accepted uniform unit of measurement in qualified courses of continuing education.

Haward Technology Middle East will award **3.0 CEUs** (Continuing Education Units) or **30 PDHs** (Professional Development Hours) for participants who completed the total tuition hours of this program. One CEU is equivalent to ten Professional Development Hours (PDHs) or ten contact hours of the participation in and completion of Haward Technology programs. A permanent record of a participant’s involvement and awarding of CEU will be maintained by Haward Technology. Haward Technology will provide a copy of the participant’s CEU and PDH Transcript of Records upon request.

Course Instructor(s)

This course will be conducted by the following instructor(s). However, we have the right to change the course instructor(s) prior to the course date and inform participants accordingly:



Mr. Pete Du Plessis is a **Senior Mechanical Maintenance Engineer** with over **30 years** of practical experience within the **Oil, Gas** and **Petrochemical** industries. His expertise includes **Reliability** Management, **Reliability Design** Techniques, **Reliability** Modelling, **Reliability** Techniques, Advanced **Root Causes** Analysis & Techniques, Creative **Problem Solving & Failure Analysis** Methodologies, Plant & Equipment Specification & Functions, **Cost Effective** Procedures, Dynamics of Machines, Reliability, Maintenance, Integrity & Inspection, **Maintenance** Management, **Maintenance Planning**, **Shutdown & Turnaround**, **Mechanical** Troubleshooting, **Preventive & Predictive Maintenance**, **Condition Monitoring**, Start-up & Commissioning, **Process Plant Commissioning**, **Cost Estimation**, **Dynamic Hydraulic Testing**, **COSHH**, **P&ID Reading**, **Engineering Drawings**, **Piping & Instrumentation Diagrams**, **Isometrics Drafting**, **Control & Safety Systems**, **PFD**, **Process Safety**, **Process** Troubleshooting & Problem Solving, **Process Hazard Analysis (PHA)**, **Pumps**, **Compressors**, **Bearings**, **Lubrication**, **Process Safety** Management, **Risk Assessment** within Production Operation, **Hazard** Identification, **Safety Auditing**, **Site Inspection**, **Quantified Risk Assessment**, **HAZOP Studies & Leadership**, **FMEA**, Waste Management, Industrial Effluents, **Hazardous Material**, Chemical Handling, Emergency Response Services, **HAZCOM**, **HAZWOPER**, **HAZMAT**, Environmental Management (**ISO 14001**), Safety Management (**OHSAS 18001**) and Quality Management (**ISO 9001**).

While Mr. Du Plessis has been very active in the process industry he has likewise headed Consultancy projects for major **petrochemical companies**. In all his projects, he utilizes a systems approach which includes **risk management**, **process safety**, health & environmental management, human behaviour and quality management. Furthermore, he has come to share his expertise through the **numerous international trainings** he has held on **PHA**, **HAZOP**, **Risk Assessment**, Handling **Hazardous Materials** & Chemicals, Petroleum Products Handling & Transportation. Moreover, he completed various assignments as a consultant, trainer, facilitator, auditor & designer and conducted numerous licensed international Safety, Technology and Auditing Awareness & Implementing training courses including **IMS**, **ISO 9001**, **ISO 14001**, **ISO 27001**, **ISO 17799**, **OHSAS 18001** audits & assessments. With his accomplishments and achievements, he had been a **Safety Superintendent**, **Senior Safety Official** and **Senior Process Controller** for several international petrochemical companies.

Mr. Plessis has **Bachelor** degree with **Honours** in **Industrial Engineering & Management**. Further, he has gained **Diploma** in **Quality & Production Management**. He is also a **Certified Assessor & Moderator** with the Manufacturing, Engineering & Related Services Education and Training Authority (MERSETA), a **Certified Trainer/Assessor** by the **Institute of Leadership & Management (ILM)** and a **Certified Instructor/Trainer** by the APICS. He has further delivered numerous trainings, courses, seminars, conferences and workshops internationally.

Training Methodology

All our Courses are including **Hands-on Practical Sessions** using equipment, State-of-the-Art Simulators, Drawings, Case Studies, Videos and Exercises. The courses include the following training methodologies as a percentage of the total tuition hours:-

- 30% Lectures
- 20% Practical Workshops & Work Presentations
- 30% Hands-on Practical Exercises & Case Studies
- 20% Simulators (Hardware & Software) & Videos

In an unlikely event, the course instructor may modify the above training methodology before or during the course for technical reasons.

Course Fee

Dubai	US\$ 5,500 per Delegate + VAT . This rate includes H-STK® (Haward Smart Training Kit), buffet lunch, coffee/tea on arrival, morning & afternoon of each day.
London/ Madrid/ Athens	US\$ 8,800 per Delegate + VAT . This rate includes H-STK® (Haward Smart Training Kit), buffet lunch, coffee/tea on arrival, morning & afternoon of each day.

Course Program

The following program is planned for this course. However, the course instructor(s) may modify this program before or during the course for technical reasons with no prior notice to participants. Nevertheless, the course objectives will always be met:

Day 1

0730 - 0800	<i>Registration & Coffee</i>
0800 - 0815	<i>Welcome & Introduction</i>
0815 - 0830	PRE-TEST
0830 - 0930	Introduction to Corporate Governance <i>Definitions & Concepts • Importance & Purpose in the Corporate World</i>
0930 - 0945	<i>Break</i>
0945 - 1130	Current State of the Organization's Corporate Governance Framework <i>Overview of Existing Systems & Structures • Analysis of Inter-Company & Intra-Group Relations</i>
1130 - 1230	Governance & Corporate & Group Communication Legal Regulations <i>Key Legal Provisions & their Implications • Compliance Requirements & Potential Legal Pitfalls</i>
1230 - 1245	<i>Break</i>
1245 - 1420	Best Practices in Corporate Governance <i>Introduction to Globally Accepted Best Practices • Analyzing the Gap Between Current State & Best Practices</i>
1420 - 1430	Recap
1430	<i>Lunch & End of Day One</i>

Day 2

0730 - 0930	Legal Perspectives on Best Practices Legal Backdrop Supporting the Best Practices • Understanding Legal Motivations Behind These Practices
0930 - 0945	Break
0945 - 1130	Case Studies: Real-World Corporate Governance Failures Analyzing the Reasons & Implications • Lessons Learned From Major Corporate Governance Mishaps
1130 - 1230	Implementing & Embedding Corporate Governance into Inter-Company/Intra-Group Relations Identifying Potential Challenges & Pitfalls • Legal Guidelines for Smooth Operations
1230 - 1245	Break
1245 - 1420	Legal Aspects of Corporate/Group Communications Importance of Compliant Communication both Internally & Externally • Addressing Privacy Concerns & Regulatory Standards
1420 - 1430	Recap
1430	Lunch & End of Day Two

Day 3

0730 - 0930	Delineation of Duties Definitions & Understanding the Concept • Legal Perspectives on Ensuring Clear Role Demarcations
0930 - 0945	Break
0945 - 1130	Key Compliance Duties Understanding the Duties Essential for Corporate Compliance • Legal Implications of Neglecting these Duties
1130 - 1230	Arm's Length Standards Defining 'Arm's Length' • Implications in Inter-Company Transactions & the Legal Backdrop
1230 - 1245	Break
1245 - 1420	Workshop: Implementing Corporate Governance in Real-Time Scenarios Hands-on Session to Simulate Corporate Governance Implementation Challenges • Guided Solutions & Best Practice Alignment
1420 - 1430	Recap
1430	Lunch & End of Day Three

Day 4

0730 - 0930	Corporate Compliance Essentials Beyond Just Meeting the Standards - Ensuring Sustained Compliance • Continuous Monitoring Realignment Strategies
0930 - 0945	Break
0945 - 1130	Filing & Reporting Duties Understanding the Legal Timelines & Frameworks • Implications of Missed Timelines or Incorrect Filings
1130 - 1230	Managing Transparency in Corporate Governance Balancing Stakeholder Interests with Corporate Privacy • Legal Aspects of Ensuring Transparency without Overexposure
1230 - 1245	Break

1245 - 1420	Regulatory Updates Pertaining to Corporate Governance <i>Keeping Abreast with the Dynamic Legal Environment • Mechanisms for Regular Update Checks & Alignment</i>
1420 - 1430	Recap
1430	<i>Lunch & End of Day Four</i>

Day 5

0730 - 0930	Lessons Learned from Industry <i>Studying the Journey of Organizations that Successfully Integrated Strong Corporate Governance</i>
0930 - 0945	<i>Break</i>
0945 - 1130	Lessons Learned from Industry (cont'd) <i>Common Mistakes & Best Practices to Learn From</i>
1130 - 1230	Final Assessment & Interactive Q&A <i>Testing the Understanding of Participants</i>
1230 - 1245	<i>Break</i>
1245 - 1330	Final Assessment & Interactive Q&A (cont'd) <i>Addressing Queries, Clarifications & Summarizing the 3-Day Course Content</i>
1330 - 1345	Course Conclusion
1345 - 1400	POST-TEST
1415 - 1430	<i>Presentation of Course Certificates</i>
1430	<i>Lunch & End of Course</i>

Practical Sessions

This practical and highly-interactive course includes real-life case studies and exercises:-



Course Coordinator

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